

PTO-1449 <b>Information Disclosure Citation in an Application</b>			Application No. 09/963,736	Applicant(s) David C. Hovda et al.			
			Docket Number E-05-2 Confirmation Number 1485	Group Art Unit 3739	Filing Date September 26, 2001		
<b>U.S. PATENT DOCUMENTS</b>							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/LC/	A.	2,056,377	10/06/39	Wappler	125	303	08/16/33
	B.	3,633,425	01/11/72	Sanford	73	356	01/02/70
	C.	3,815,604	06/11/74	O'Malley et al.	128	305	06/19/72
	D.	3,828,780	08/13/74	Morrison, Jr. et al.	128	275	03/26/73
	E.	3,901,242	08/26/75	Storz	128	303	05/30/74
	F.	3,920,021	11/18/75	Hiltebrandt	128	303	05/15/74
	G.	3,939,839	02/24/76	Curtiss	128	303	06/26/74
	H.	3,970,088	07/20/76	Morrison	128	303	04/24/75
	I.	4,074,718	02/21/78	Morrison, Jr.	128	303	03/17/76
	J.	4,092,986	06/06/78	Schneiderman	128	303	06/14/76
	K.	4,181,131	01/01/80	Ogiu	128	303	02/23/78
	L.	4,184,492	01/22/80	Meinke et al.	128	303	05/30/78
	M.	4,474,179	10/02/84	Koch	606	40	05/13/82
	N.	4,590,934	05/27/86	Malis et al.	128	303	05/18/83
	O.	4,658,817	04/21/87	Hardy	606	14	04/01/85
	P.	4,660,571	04/28/87	Hess et al.	128	784	07/18/85
	Q.	4,727,874	03/01/88	Bowers et al.	128	303	09/10/84
	R.	4,785,823	11/22/88	Eggers et al.	128	692	07/21/87
	S.	4,805,616	02/21/89	Pao	128	303	11/20/86
	T.	4,832,048	05/23/89	Cohen	128	786	10/29/87
	U.	4,907,589	03/13/90	Cosman	606	34	04/29/88
	V.	4,920,978	05/01/90	Colvin	128	784	08/31/88
	W.	4,936,281	06/26/90	Stasz	128	660	04/13/89
V	X.	4,966,597	10/30/90	Cosman	606	50	11/04/88
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PTO-1449  <b>Information Disclosure Citation in an Application</b>		Application No. 09/963,736	Applicant(s) David C. Hovda et al.	
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## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/LC/ A.	5,047,026	09/10/91	Rydell	606	48	07/02/90
B.	5,047,027	09/10/91	Rydell	606	48	04/20/90
C.	5,084,044	01/28/92	Quint	606	27	07/14/89
D.	5,085,659	02/04/92	Rydell	606	47	11/21/90
E.	5,088,997	02/18/92	Delahuerga et al.	606	42	03/15/90
F.	5,099,840	03/31/92	Goble	128	422	01/23/89
G.	5,102,410	04/7/92	Dressel	606	15	10/09/90
H.	5,156,151	10/20/92	Imran	600	375	02/15/91
I.	5,167,659	12/01/92	Ohtomo et al.	606	40	05/13/91
J.	5,171,311	12/15/92	Rydell et al.	606	48	09/23/91
K.	5,192,280	03/09/93	Parins	606	48	11/25/91
L.	5,197,466	03/30/93	Marchosky et al.	128	399	01/07/92
M.	5,207,675	05/04/93	Canady	606	40	07/15/91
N.	5,217,459	06/08/93	Kamerling	606	48	08/27/91
O.	5,261,410	11/16/93	Alfano et al.	600	475	02/07/91
P.	5,306,238	04/26/94	Fleenor	606	42	09/13/91
Q.	5,374,261	12/20/94	Yoon	604	385.01	10/23/90
R.	5,375,588	12/27/94	Yoon	128	4	08/17/92
S.	5,380,316	01/10/95	Aita	606	7	06/16/93
T.	5,389,096	02/14/95	Aita	606	15	02/25/93
U.	5,400,267	03/21/95	Denen et al.	702	59	12/08/92
V.	5,401,272	03/28/95	Perkins	606	15	02/16/94

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/LC/ A.		5,423,882	06/13/95	Jackman et al.	607	122	07/06/94
	B.	5,436,566	07/25/95	Thompson et al.	324	713	06/01/93
	C.	5,437,662	08/01/95	Nardella	606	40	02/17/94
	D.	5,438,302	08/01/95	Goble	331	167	07/11/94
	E.	5,451,224	09/19/95	Goble et al.	606	48	02/25/93
	F.	5,496,312	03/05/96	Klicek	606	34	10/07/93
	G.	5,496,314	03/05/96	Eggers	606	41	10/09/92
	H.	5,496,317	03/05/96	Goble et al.	606	48	05/03/94
	I.	5,554,152	09/10/96	Aita	606	7	12/20/94
	J.	5,571,100	11/05/96	Goble et al.	606	41	10/28/94
	K.	5,633,578	05/27/97	Eggers et al.	323	301	07/15/94
	L.	5,676,693	10/14/97	LaFontaine et al.	607	116	06/14/94
	M.	5,810,809	09/22/98	Rydell	606	49	01/13/97
	N.	5,836,875	11/17/98	Webster, Jr.	600	374	10/04/96
	O.	5,860,951	01/19/99	Eggers	604	510	11/22/96
	P.	5,860,975	01/19/99	Goble et al.	606	45	12/15/95
	Q.	5,871,469	02/16/99	Eggers et al.	604	114	02/05/97
	R.	5,873,855	02/23/99	Eggers et al.	604	114	11/22/96
	S.	5,888,198	03/30/99	Eggers et al.	604	114	12/05/96
	T.	5,891,095	04/06/99	Eggers et al.	604	114	12/05/96
	U.	5,891,134	04/06/99	Goble et al.	606	27	09/24/96
	V.	5,902,272	05/11/99	Eggers et al.	604	114	07/16/96
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/LC/ A.		5,954,716	09/21/99	Sharkey et al.	606	32	02/19/98
	B.	6,004,319	12/21/99	Goble et al.	606	48	08/26/96
	C.	6,013,076	01/11/00	Goble et al.	606	41	10/25/96
	D.	6,015,406	01/18/00	Goble et al.	606	41	08/21/96
	E.	6,024,733	02/15/00	Eggers et al.	604	500	11/22/95
	F.	6,027,501	02/22/00	Goble et al.	606	41	10/21/96
	G.	6,047,700	04/11/00	Eggers et al.	128	898	05/22/98
	H.	6,063,079	05/16/00	Hovda et al.	606	41	04/02/98
	I.	6,066,134	05/23/00	Eggers et al.	606	32	10/23/98
	J.	6,090,106	07/18/00	Goble et al.	606	41	03/26/98
	K.	6,093,186	07/25/00	Goble et al.	606	34	12/18/97
	L.	6,102,046	08/15/00	Weinstein et al.	128	898	06/02/98
	M.	6,105,581	08/22/00	Eggers et al.	128	898	11/14/97
	N.	6,117,109	09/12/00	Eggers et al.	604	114	09/28/98
	O.	6,126,682	10/03/00	Sharkey et al.	607	96	09/15/98
	P.	6,142,992	11/07/00	Cheng et al.	606	34	04/10/98
	Q.	6,149,620	11/21/00	Baker et al.	604	22	02/12/99
	R.	6,159,194	12/12/00	Eggers et al.	604	500	10/02/97
	S.	6,159,208	12/12/00	Hovda et al.	606	41	03/15/99
	T.	6,168,593	01/02/01	Sharkey et al.	606	34	02/12/98
	U.	6,174,309	01/16/01	Wrublewski et al.	606	45	02/11/99
	V.	6,179,824	01/30/01	Eggers et al.	604	500	06/13/97
	W.	6,179,836	01/30/01	Eggers et al.	606	45	10/28/98
	X.	6,183,469	02/06/01	Thapliyal et al.	606	41	01/02/98
	Y.	6,203,542	03/20/01	Ellsberry et al.	606	41	04/21/99
	Z.	6,224,592	05/01/01	Eggers et al.	606	32	07/27/98
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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/LC/A.	6,228,078	05/08/01	Eggers	606	32	11/25/97
B.	6,228,081	05/08/01	Goble	606	34	06/30/99
C.	6,234,178	05/08/01	Eggers	606	32	11/25/97
D.	6,235,020	05/22/01	Cheng et al.	606	34	04/10/98
E.	6,237,604	05/29/01	Burnside et al.	128	897	09/07/99
F.	6,238,391	05/29/01	Olsen et al.	606	41	06/11/99
G.	6,254,600	07/03/01	Willink et al.	606	41	06/11/99
H.	6,261,286	07/17/01	Goble et al.	606	34	10/16/98
I.	6,261,311	07/17/01	Sharkey et al.	607	96	7/30/99
J.	6,264,652	07/24/01	Eggers et al.	606	41	05/18/99
K.	6,270,460	08/07/01	McCartan et al.	600	459	06/24/99
L.	6,280,441	08/28/01	Ryan	606	45	12/15/97
M.	6,293,942	09/25/01	Goble et al.	606	38	05/02/96
N.	6,296,636	10/02/01	Cheng et al.	606	32	07/21/99
O.	6,296,638	10/02/01	Davison et al.	606	41	11/20/98
P.	6,306,134	10/23/01	Goble et al.	606	42	10/16/98
Q.	6,308,089	10/23/01	von der Rur et al.	600	338	04/14/99
R.	6,309,387	10/30/01	Eggers et al.	606	41	05/18/99
S.	6,312,408	11/06/01	Eggers et al.	604	114	12/05/96
T.	6,322,549	11/27/01	Eggers et al.	604	500	01/06/00
U.	6,355,032	03/12/02	Hovda et al.	606	32	02/27/98
V.	6,363,937	04/02/02	Hovda et al.	128	898	05/06/98
W.	6,364,877	04/02/02	Goble et al.	606	34	10/16/98
X.	6,379,351	04/30/02	Thapliyal et al.	606	41	02/18/00
Y.	6,391,025	05/21/02	Weinstein et al.	606	41	03/13/98

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/LC/	A.	6,416,507	07/09/02	Eggers et al.	606	32	01/18/00
	B.	6,416,508	07/09/02	Eggers et al.	606	32	02/15/00
	C.	6,416,509	07/09/02	Goble et al.	606	37	03/26/98
	D.	6,432,103	08/13/02	Ellsberry et al.	606	41	06/26/00
	E.	6,468,274	10/22/02	Alleyne et al.	606	32	10/11/00
	F.	6,468,275	10/22/02	Wampler et al.	606	48	06/23/00
	G.	6,482,201	11/19/02	Olsen et al.	606	41	07/27/00
	H.	6,517,498	02/11/03	Burbank et al.	600	564	07/20/00
	I.	6,530,922	03/11/03	Cosman	606	34	01/27/00
	J.	6,578,579	06/17/03	Burnside	128	897	05/07/01
	K.	6,589,237	07/08/03	Woloszko et al.	606	41	01/19/01
	L.	6,602,248	08/05/03	Sharps et al.	606	32	09/28/00
	M.	6,620,156	09/16/03	Garito et al.	606	50	09/20/02
	N.	6,632,193	10/14/03	Davison et al.	604	22	01/05/00
	O.	6,632,220	10/14/03	Eggers et al.	606	41	11/12/99
	P.	6,749,604	06/15/04	Eggers et al.	606	41	03/30/00
	Q.	6,749,608	06/15/04	Garito et al.	606	45	08/05/02
	R.	6,770,071	8/3/2004	Woloszko et al.	606	41	2/9/2001
	S.	6,780,178	08/24/04	Palanker et al.	600	41	05/03/02
	T.	6,780,180	08/24/04	Goble et al.	606	41	03/08/00
	U.	6,802,842	10/12/04	Ellman et al.	606	45	01/02/03
	V.	6,837,887	1/4/2005	Woloszko et al.	606	41	1/25/2002
	W.	6,837,888	1/4/2005	Ciarrocca et al.	606	41	2/25/2002
	X.	6,920,883	07/26/05	Bessette et al.	128	898	11/08/02
	Y.	6,929,640	08/16/05	Underwood et al.	606	32	02/24/00
	Z.	6,949,096	09/27/05	Davison et al.	606	41	01/21/03
	AA.	6,960,204	11/01/05	Eggers et al.	606	32	07/16/03
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/LC/ A.	6,974,453	12/13/05	Woloszko et al.	606	41	04/20/01
B.	6,991,631	01/31/06	Woloszko et al.	606	41	02/13/03
C.	7,004,941	02/28/06	Tvinnereim et al.	606	41	11/07/02
D.	7,041,102	05/09/06	Truckai et al.	606	51	05/22/03
E.	7,070,596	07/04/06	Woloszko et al.	606	41	10/03/00
F.	7,090,672	08/15/06	Underwood et al.	606	41	07/02/03
G.	7,094,215	08/22/06	Davison et al.	604	22	03/28/03
H.	7,104,986	09/12/06	Hovda et al.	606	32	05/12/03
I.	7,131,969	11/07/06	Hovda et al.	606	45	05/01/00
J.	7,169,143	01/30/07	Eggers et al.	606	32	10/20/05
K.	7,179,255	02/20/07	Lettice et al.	606	32	12/20/00
L.	7,186,234	03/06/07	Dahlia et al.	604	22	02/05/02
M.	7,192,428	03/20/07	Eggers et al.	606	41	03/20/03
N.	7,201,750	04/10/07	Eggers et al.	606	41	05/18/99
O.	7,217,268	05/15/07	Eggers et al.	606	32	06/24/03
P.	RE33,925	05/12/92	Bales et al.	606	48	12/08/88

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/LC/ Q.	2002/0029036	03/07/02	Goble et al.	606	38	08/09/01
R.	2002/0095151	07/18/02	Dahlia et al.	606	41	02/05/02
S.	2003/0013986	01/16/03	Saadat	600	549	07/12/01
T.	2003/0028189	02/06/03	Woloszko et al.	604	045	06/27/02
U.	2003/0088245	05/08/03	Woloszko et al.	606	041	11/04/02
V.	2003/0158545	08/21/03	Hovda et al.	606	032	02/25/03
W.	2003/0171743	09/11/03	Tasto et al.	606	032	02/21/03

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/LC/A.	2003/0208196	11/06/03	Stone	606	041	05/03/02
B.	2003/0212395	11/13/03	Woloszko et al.	606	032	03/05/03
C.	2003/0212396	11/13/03	Eggers et al.	606	041	03/14/03
D.	2004/0024399	02/05/04	Sharps et al.	606	032	07/08/03
E.	2004/0049180	03/11/04	Sharps et al.	606	032	05/13/03
F.	2004/0054366	03/18/04	Davison et al.	606	045	09/12/03
G.	2004/0127893	07/01/04	Hovda	606	041	12/11/03
H.	2004/0153057	08/05/04	Davison	600	410	11/13/03
I.	2004/0116922	06/17/04	Hovda et al.	606	041	09/05/03
J.	2004/0186469	09/23/04	Woloszko et al.	606	041	02/05/04
K.	2004/0230190	11/18/04	Dahla et al.	604	041	03/12/04
L.	2005/0004634	01/06/05	Hovda et al.	606	041	07/29/04
M.	2005/0010205	01/13/05	Hovda et al.	606	032	03/12/04
N.	2005/0119650	06/02/05	Sanders et al.	424	426	10/20/04
O.	2005/0131402	06/16/05	Ciarrocca et al.	600	450	01/03/05
P.	2005/0187543	08/28/05	Underwood et al.	606	041	04/25/02
Q.	2005/0234439	10/20/05	Underwood et al.	606	032	03/25/05
R.	2005/0251134	11/10/05	Woloszko et al.	606	032	05/09/05
S.	2005/0261754	11/24/05	Woloszko et al.	606	032	04/12/05
T.	2005/0288665	12/29/05	Woloszko et al.	606	041	06/24/05
U.	2006/0036237	02/16/06	Davison et al.	606	041	06/03/05
V.	2006/0095026	05/04/06	Hovda et al.	606	032	09/19/05
W.	2006/0095031	05/04/06	Ormsby, T.	606	034	09/22/05
X.	2006/0129145	06/15/06	Ormsby et al.	606	041	10/11/05
Y.	2006/0178670	08/10/06	Woloszko et al.	606	048	01/17/06
Z.	2006/0189971	08/24/06	Eggers et al.	606	032	11/23/05

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## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/LC/A.	2006/0253117	11/09/06	Hovda et al.	128	898	03/09/06
B.	2006/0259025	11/16/06	Dahla	607	108	05/16/05
C.	2007/001088	01/11/07	Dahla	606	041	07/06/05
D.	2007/0010809	01/11/07	Sanders et al.	606	032	08/02/06

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
/LC/ E.	0 694 290	11/15/00	EP	A61B	18/04	X	
F.	57-57802	04/05/82	JP	A61B	1/00	X	
G.	05/000434	12/20/06	NL	---	---	X	
H.	95/34259	12/21/95	WO	A61F	5/48	X	
I.	97/48345	12/24/97	WO	A61B	17/39	X	
J.	98/07468	02/26/98	WO	A61N	1/40	X	
K.	98/27880	07/02/98	WO	A61B	17/39	X	
L.	99/51155	10/14/99	WO	A61B	17/36	X	
M.	99/51158	10/14/99	WO	A61B	17/39	X	
N.	01/87154	5/18/01	WO	A61B	5/05	X	
O.	02/36028	5/10/02	WO	A61B	18/12	X	
P.	05/125287	12/29/05	WO	A61B	18/00	X	

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	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
/LC/ Q.	Barry et al., "The Effect of Radiofrequency-generated Thermal Energy on the Mechanical and Histologic Characteristics of the Arterial Wall in Vivo: Implications of Radiofrequency Angioplasty" <i>American Heart Journal</i> Vol. 117, pp. 332-341	1982
/LC/ R.	Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting System CMC-II" brochure, early, 2 pgs	1991
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/LC/ T.	Cook et al., "Therapeutic Medical Devices: Application and Design", Prentice Hall, Inc., 3pgs	1982

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<b>PTO-1449</b> <b>Information Disclosure Citation In an Application</b>		Application No. 09/963,736	Applicant(s) David C. Hovda et al.	
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/LC	A.	Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 3 pgs	1998	
	B.	Kramolowsky et al. "Use of 5F Bipolar Electrosurgical Probe in Endoscopic Urological Procedures" <i>J. of Urology</i> Vol. 143, pp. 275-277	1990	
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	D.	Letter from Department of Health to Jerry Malis dated July 25, 1985, 1 pg	07/25/85	
	E.	Letter from Jerry Malis to FDA dated July 25, 1985, 2 pgs	07/25/85	
	F.	Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In Vitro Tissue Ablation Studies and In Vitro Experimental Findings," <i>Am J. Cardiol</i> Vol. 60, pp. 1117-1122	11/01/87	
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	H.	Malis, L., "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1pg	1995	
	I.	Malis, L., "Instrumentation for Microvascular Neurosurgery" <i>Cerebrovascular Surgery</i> , Vol 1, pp. 245-260	1985	
	J.	Malis, L., "New Trends in Microsurgery and Applied Technology," <i>Advanced Technology in Neurosurgery</i> , pp. 1-16	1988	
	K.	Malis, L., "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, 1 pg	04/09/93	
	L.	O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 <sup>nd</sup> Ed., pp. 3-5	1992	
	M.	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," <i>Gastroenterology</i> Vol. 80, No. 3, pp. 451-455	1981	
	N.	Ramsey et al., "A Comparison of Bipolar and Monopolar Diathermy Probes in Experimental Animals", <i>Urological Research</i> Vol. 13, pp. 99-102	1985	
	O.	Selikowitz et al., "Electric Current and Voltage Recordings on the Myocardium During Electrosurgical Procedures in Canines," <i>Surgery, Gynecology &amp; Obstetrics</i> , Vol. 164, pp. 219-224	03/1987	
	P.	Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical Applications," <i>Dentistry Today</i> , Vol. 20, No. 12, 7 pgs	12/2001	
	Q.	Stoffels, E. et al., "Investigation on the Interaction Plasma-Bone Tissue", E-MRS Spring Meeting, 1 pg	06/18-21/2002	
	R.	Stoffels, E. et al., "Biomedical Applications of Plasmas", Tutorial presented prior to the 55 <sup>th</sup> Gaseous Electronics Conference in Minneapolis, MN, 41 pgs	10/14/02	
	S.	Stoffels, E. et al., "Plasma Interactions with Living Cells", Eindhoven University of Technology, 1 pg	2002	
	T.	Stoffels, E. et al., "Superficial Treatment of Mammalian Cells using Plasma Needle", <i>J. Phys. D: Appl. Phys.</i> 26, pp. 2908-2913	11/19/03	
✓	U.	Stoffels, E. et al., "Plasma Needle", Eindhoven University of Technology, 1 pg	11/28/03	
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	B.	Stoffels, E. et al., "Plasma Treated Tissue Engineered Skin to Study Skin Damage", Biomechanics and Tissue Engineering, Materials Technology, 1 pg	2003
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	F.	Stoffels, E. et al., "Where Plasma Meets Plasma", Eindhoven University of Technology, 23 pgs	2004
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	I.	Stoffels, E. et al., "Deactivation of Escherichia Coli by the Plasma Needle", J. Phys. D: Appl. Phys. 38, pp. 1716-1721	05/20/05
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	L.	Stoffels, E. et al., "Killing of S. Mutans Bacteria Using a Plasma Needle at Atmospheric Pressure, IEEE Transaction on Plasma Science, Vol. 34, No. 4, pp. 1317-1324	08/2006
	M.	Stoffels, E. et al., "Plasma-Needle Treatment of Substrates with Respect to Wettability and Growth of Excherichia Coli and Streptococcus Mutans", IEEE Transaction on Plasma Science, Vol. 34, No. 4, pp. 1325-1330	08/2006
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✓	Q.	Valley Forge Scientific Corp., "Summary of Safety and Effective Information from 510K", 2pgs	1991

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/LC/	A.	Valley Forge's New Products, CLINICA, 475, 5		11/6/91
	B.	Valleylab SSE2L Instruction Manual, 11 pgs		1/6/83
	C.	Valleylab, Inc. "Valleylab Part Number 945 100 102 A" Surgistat Service Manual, pgs 1-46		7/1988
	D.	Wattiez, Arnaud et al., "Electrosurgery in Operative Endoscopy," Electrosurgical Effects, Blackwell Science, pp. 85-93		1995
▼	E.	Wyeth, "Electrosurgical Unit" pp. 1181-1202		2000
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